



Customer Fee Schedule Effective 9/1/2010

Checking & Debit Card Fees	
Advantage Account—Basic (check writing only)	No annual fee for check writing
Advantage Account—Standard (debit card)	\$125 annual fee
Check Orders—Individual*	
Initial order-standard wallet (40 checks)	Free
Re-order standard wallet (150)	\$9
Initial order-standard duplicate (150 checks)	\$20
Re-order standard duplicate (150)	\$20
Check Orders—Corporate*	
Initial order (Three to a page) 300 checks	\$58
Re-order (Three to a page) 150 checks	\$43.50
*Additional delivery charges may apply from check vendor on options other than bulk mail	
Misc. Fees—Advantage Account	
Insufficient Funds	\$20
Stop Payment Order	\$25
Returned Checks	\$25
Check Research	\$5 each
Check Copies	Three free copies per month; \$15 each over 3
ATM Transaction	No internal service fee
Other Fees	
Prepayment on Sale (payment prior to settlement date)	Margin Rate with \$15 Minimum
Overnight Express Mail	\$15
Client Online Access to Account	No Charge
Deposited Item Returned	\$10 per item
Postage & Handling	\$6
Bond Redemptions	No Charge
Transfer on Death Application Fee	No Charge
Stop Payment on checks issued by City Securities Corporation	No Charge
Online Bill Pay	Was \$7 per month, Now FREE
Estate Valuations	Varies by account, fee will be quoted upon request
Transfer Fees (any additional transfer agent fees are passed on at cost)	
Account Transfer to another broker/dealer (ACAT)	\$95
Physical Certificate Issuance (if possible)	\$250 per certificate (\$500 rush order)
Delivery of position through Direct Registration System	No Charge
Physical Certificate Reject Fee	\$50 per item
Direct Registration Reject Fee	\$75 per item
Foreign Securities (order out)	\$250 per security
Customer Name Safekeeping	Positions held on an exception basis, fees may apply
GNMA Certificate Issuance	\$125 per item
Reorganization Activity	
Non-Physical	No charge
Instructions received after expiration	\$250 per item
Lost Certificate Replacement	
If reported within 90 days	No charge
If reported after 90 days	\$50 plus transfer bonding (usually 3% of market value)
Mutual Funds	
Ticket Charge	\$10 per trade
No-Load Commission	The greater of \$25 or 1% of the investment

Wire Transfer Funds	
Domestic	\$15 per wire
International	\$25 per wire

Retirement Account Fees	
IRA Custodial Fee- Annual Maintenance	\$35
IRA Custodial Fee-Termination Fee	\$95
Qualified Retirement Plans – using First Clearing Prototype	
QRP EZ Plan	
Set up Fee	\$125*
Annual Maintenance Fee	\$75
Amendments (client generated)	\$50
Replacement Kit – Binder	\$50
Replacement Kit – CD	\$25
QRP Standardized Plan	
Set up Fee	\$250*
Annual Maintenance Fee	\$150
Amendments (client generated)	\$50
Replacement Kit – Binder	\$50
Replacement Kit – CD	\$25
QRP Non-Standardize Plan	
Set up Fee	\$250*
Annual Maintenance Fee	\$150
Amendments (client generated)	\$50
Replacement Kit – Binder	\$50
Replacement Kit – CD	\$25
* includes first year maintenance	

Annual Inactive Account Fees	
Custodial Accounts	\$30
All other Accounts (excluding IRAs, Advantage Standard with debit card, and advisory accounts)	\$50

Margin Interest Rates	
Debit balance:	Interest Rate:
\$1 - \$10,000	Prime Rate + 1.25%
\$10,000.01 - \$20,000	Prime Rate + 1.00%
\$20,000.01 - \$30,000	Prime Rate + 0.75%
\$30,000.01 - \$50,000	Prime Rate + 0.50%
\$50,000.01 - \$100,000	Prime Rate
\$100,000.01 and over	Prime Rate – 0.50%

With any of the client services items listed above, there may be certain instances where additional fees apply and will be charged and priced based on the situation.

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